Sheet 1 of 9

GROUP ART: 1724

SUBCLASS

CLASS

APPLN. NO.: 09/939,527

RULING DATE

Form PTO-1449 DOCKET NO: D-2979 INFORMATION DISCLOSUMENT APPLICANT: Chen et al IN AN APPLICATION FILING DATE: 8/24/01 (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** EXAMINER DOCUMENT NUMBER DATE NAME INITIAL 08/15/67 Kneeland 3 Traise et al. 2 6 04/10/73 07/31/73 Rohde 7 2 4 7 3 4 9 08/1976 Hofacker 2 7 6 0 12/28/76 Langer, Jr. et al. 3 9 9 9 Paul et al. 8 02/21/78 0 7 0 2 11/14/78 O'Brien et al. 4 1 2 5 3 8 6 10/13/81 Cox, Jr. 9 5 8 2 4 7 9 07/17/84 Sweeney et al. 3 6 0 4 Characklis 5 6 9 8 12/1985

5

3

7

6

8

8

6

5

5

5 06/1987 Goudy, Jr. et al. 6 3 4 01/1988 Hercamp et al. 9 5 2 03/1988 Hansen 7 2 5 8 4 07/05/88 Feldman 5 R 9 5 Walles et al. 07/1988 7 5 6 8 4 08/15/89 Vataru et al. 8 **FOREIGN PATENT DOCUMENTS** SUBCLASS TRANSLATION CLASS COUNTRY DATE EXAMINER INITIAL DOCUMENT NUMBER YES NO 09/25/91 Europe 8 03/25/92 Europe 5 8 6 0

Schuettenberg et al.

01/27/87

OTHER DOCUMENTS

Europe

Europe

12/09/92

12/15/93

Drozd et al., Application Serial No. 09/939,196, filed 8/24/01 (Docket #D-2874)

Kelly et al., Application Serial No. 09/939,214, filed 8/24/01 (Docket #D-2902)

Drozd et al., Application Serial No. 09/939,212, filed 8/24/01 (Docket #D-2912)

Martin et al., Application Serial No. 09/939,542, filed 8/24/01 (Docket #D-2959CIP)

**EXAMINER** 

P.A. HRUSKOCI

**DATE CONSIDERED:** 

11/7/02

,				1	MAI	01	PK	<b>3C187</b>			<b>Q</b>				
					PATE		WILZ					Sheet 2	of 9		
Form	PTO-14	149			Q.M.	DEMA	ok OFF	3/		DOCKET NO: D-2979 APPLN. NO.: 09/939,527					
	INF	FORM		ON DI	SCLO	SUR	E CIT	ATI	ON	APPLICANT: Chen et al					
IN AN APPLICATION (Use several sheets if necessary)										FILING DATE: 8/24/01		GROUP ART: 1724			
			•				<u></u>	U.	S. PATEN	T DOCUMENTS					
EXAMINER INITIAL				DOCUI	MENT NUM	BER	-		DATE	NAME	CLASS	SUBCLASS	RULI	NG DATE	
PAA		4	8	9	2	5	6	2	01/09/90	Bowers et al.	44	67			
<u> </u>	1	5	0	3	2	2	5	9	07/16/91	He et al.	210	/33			
		5	0	5	0	5	4	9	09/1991	Sturmon	123	198E			
		5	0	5	9	2	1	7	10/22/91	Arroyo et al.	44	639			
		5	0	8	9	0	4	1	02/1992	Thompson et al.	71	64.11			
		5	0	9	4	6	6	6	03/10/92	Feldman et al.	44	388			
		5	1	2	0	3	4	9	06/1992	Stewart et al.	71	93			
		5	1	8	6	7	3	2	02/1993	Thompson et al.	71	64.11			
		5	2	4	9	5	5	2	10/05/93	Brooks	123	/			
		5	3	3	2	4	0	7	07/26/94	Herbstman	114	419			
		5	3	3	7	7	0	5	. 08/1994	Lane	123	41.33			
		5	4	0	7	5	9	4	04/1995	Fry et al.	252	90			
		5	4	5	6	2	1_	7	10/10/95	Thunker et al.	123	14			
		5	4	5	8	7	9	3_	10/17/95	Adams et al.	252	47			
		5	5	0	7	9	4	2	04/16/96	Davis	210	94			
	/	5	5	2	7	4	5	2	06/18/96	Grigoriev et al.	210	130			
PA	W	5	5	7	3	5	5	7	11/12/96	Thünker et al.	44	639			
							F	ORE	EIGN PAT	ENT DOCUMENTS					
EXAMI	NER			DOCUN	MENT NU	MBER			DATE	COUNTRY CLASS	SUBCLASS	TRANSL	ATION		
INITIAL													YES	NO	
Par	H	0	2	7	9	8	6	3	08/31/88	Europe	-			X	
							ļ	<u>.</u>	<u></u> .,				<u> </u>		
									OTHER D	OCUMENTS					
		<u> </u>	<u> </u>							I		·			
EXAM	MINER	4	? A	, H	RU	SK	OC.	<u> </u>		DATE CONSIDERED: 11/7/02					

Sheet 3 of 9 Form PTO-1449 **DOCKET NO: D-2979** APPLN. NO.: 09/939,527 INFORMATION DISCLOSURE APPLICANT: Chen et al IN AN APPLICATION FILING DATE: 8/24/01 **GROUP ART: 1724** (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS RULING DATE 321 5 9 12/03/96 Wright 3 01/07/97 9 0 Lefebvre 5 4 3 3 5 07/1997 Lew et al. 6 6 6 09/02/97 Hudgens et al. 5 6 9 5 5 3 12/09/97 Makino et al. 5 7 1 1 R 9 4 01/27/98 Miyake et al. 5 8 8 3 6 02/17/98 Nakatani et al. 5 4 3 04/1998 Mitchell et al. 5 5 2 9 9 05/19/98 Henly et al. 5 7 5 2 9 9 05/19/98 Plavac 5 5 6 5 05/26/98 Carey et al. 5 8 3 3 7 2 11/10/98 Davies et al. 0 9 0 11/24/98 Baker 7 5 8 9 7 0 04/27/99 Hatch et al. 6 0 6 3 9 01/2000 Mitchell et al. 0 1 6 7 3 9 01/25/00 1 6 Ahmed 0 PAU 1 4 5 4 10/31/00 Melder et al. 0 FOREIGN PATENT DOCUMENTS EXAMINER INITIAL COUNTRY CLASS SUBCLASS TRANSLATION **DOCUMENT NUMBER** DATE YES NO OTHER DOCUMENTS **EXAMINER DATE CONSIDERED:** 

P.A. HRUSKOCI

Sheet 4 of 9

MAR 2 5 2002 Form PTO-1449 APPLN. NO.: 09/939,527 **DOCKET NO: D-2979** INFORMATION DISCLOSURE CITATION APPLICANT: Chen et al IN AN APPLICATION FILING DATE: 8/24/01 **GROUP ART: 1724** (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** DOCUMENT NUMBER DATE NAME CLASS SUBCLASS RULING DATE EXAMINER INITIAL 260 464 PARA 04/28/42 R 0 Bruson 0 07/10/56 Schreyer 7 02/19/57 Hefner et al 2 8 2 2 0 2 9 07/01/58 Hefner et al 8 2 9 2 8 8 7 7 03/15/60 Jaul et al 0 8 7 4 3 6 04/30/63 Dettiof et al 3 1 2 8 9 2 03/09/65 Le Suer et al 2 5 0 11/02/65 Rense 3 2 5 01/25/66 Rense 3 2 2 6 09/13/66 Le Suer et al 2 5 5 09/27/66 Wagenaar 3 3 6 1 6 7 3 01/02/68 Stuart et al 3 6 8 02/13/68 Otto 4 3 7 11/26/68 Worrel 3 3 4 2 01/14/69 Kaufman et al 3 4 2 1 5 7 Honnen et al 4 7 7 04/15/69 3 8 5 0 0 2 9 04/22/69 Little et al FOREIGN PATENT DOCUMENTS EXAMINER INITIAL CLASS SUBCLASS DATE COUNTRY TRANSLATION **DOCUMENT NUMBER** YES NO **OTHER DOCUMENTS DATE CONSIDERED: EXAMINER** P.A. HEUSKOCI

Sheet 5 of 9

Form PTO-1449 DOCKET NO: D-2979 APPLN. NO.: 09/939,527 INFORMATION DISCLOSURE CITATION APPLICANT: Chen et al IN AN APPLICATION (Use several sheets if necessary) FILING DATE: 8//24/01 **GROUP ART: 1724 U. S. PATENT DOCUMENTS** EXAMINER INITIAL DATE DOCUMENT NUMBER NAME CLASS SUBCLASS RULING DATE PM 239 3 5 07/08/69 van der Voort et al 07/08/69 Le Suer et al 3 5 6 5 8 0 02/23/71 Honnen et al 4 5 7 04/13/71 Honnen et al 4 6 3 5 9 8 7 3 08/10/71 8 Biswell et al 3 6 2 9 2 9 03/14/72 Otto 6 06/20/72 Honnen et al 6 10/10/72 Piasek et al 04/03/73 Worrel 3 7 5 5 4 3 3 08/28/73 Miller et al 5 6 09/04/73 Robinson 3 7 9 0 3 5 9 02/05/74 Feldman 8 2 2 8 9 07/02/74 Clark et al 3 8 4 9 0 8 5 11/19/74 Kreuz et al 7 7 3 R 8 q 9 04/15/75 Bundy et al 3 9 2 7 6 4 10/14/75 Palmer, Jr. 7 9 5 3 01/13/76 Wetzel et al **FOREIGN PATENT DOCUMENTS** EXAMINER INITIAL **DOCUMENT NUMBER** DATE COUNTRY CLASS SUBCLASS TRANSLATION YES NO **OTHER DOCUMENTS EXAMINER DATE CONSIDERED:** P.A. HRUSKOCI EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 6 of 9

Form PTO-1449 **DOCKET NO: D-2979** APPLN. NO.: 09/939,527 INFORMATION DISCLOSURE CITATION APPLICANT: Chen et al IN AN APPLICATION FILING DATE:8/24/01 **GROUP ART: 1724** (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** DATE NAME CLASS SUBCLASS RULING DATE DOCUMENT NUMBER EXAMINER INITIAL PAA 05/04/76 Elliott et al 5 0 8 9 0 9 09/14/76 Murrell et al 2 9 05/31/77 Lachowicz et al 4 0 6 8 0 06/26/77 Song et al 0 2 7 0 0 4 3 10 01/03/78 Rohde 6 6 5 5 9 4 0 0 3 4 9 08/29/78 Cohen 7 06/30/79 Gardiner et al 01/30/79 Pappas et al 1 6 0 6 5 9 11/04/80 Udelhofen et al 3 2 4 3 5 11/18/80 Meinhardt et al 3 4 12/09/80 Cahill et al 2 8 8 2 7 3 0 01/27/81 Honnen 2 7 0 04/14/81 Langdon 6 1 03/16/82 4 3 2 0 0 1 9 Hayashi 3 2 3 7 4 04/06/82 Malloy et al Chamberlin, III 3 6 9 2 04/27/82 3 5 5 0 11/02/82 Hayashi PATA 5 04/05/83 3 Lange **FOREIGN PATENT DOCUMENTS** SUBCLASS TRANSLATION COUNTRY CLASS EXAMINER INITIAL **DOCUMENT NUMBER** DATE YES NO **OTHER DOCUMENTS DATE CONSIDERED: EXAMINER** P.A. HRUSKOCI

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to the applicant.

Sheet 7 of 9 Form PTO-1449 APPLN. NO.: 09/939,527 **DOCKET NO: D-2979** INFORMATION DISCLOSURE CITATION APPLICANT: Chen et al IN AN APPLICATION **GROUP ART: 1724** FILING DATE: 8/24/01 (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** NAME SUBCLASS RULING DATE EXAMINER INITIAL DOCUMENT NUMBER DATE CLASS P414 09/04/84 ß n R Burress 5 0 8 04/14/87 Slaugh et al 4 6 6 3 0 6 3 05/05/87 Davis 6 6 8 05/26/87 Rim et al 4 7 0 8 8 0 9 11/24/87 Davis Δ 7 4 n 3 2 1 04/26/88 Davis et al 4 8 4 9 5 6 9 07/18/89 Smith, Jr. 9 0 0 02/27/90 Ripple et al 9 3 9 9 06/26/90 Ewen et al 7 4 9 8 0 0 5 12/25/90 Dobrez et al 01/01/91 0 Ripple et al 5 0 9 6 6 9 05/28/91 Adams et al 5 0 7 9 9 12/10/91 DeGonia et al 5 2 5 0 3 1 5 10/01/91 Steckel 5 3 7 9 7 8 08/11/92 Degonia et al 5 3 8 8 08/11/92 Matzner et al 0 8 11/03/92 Steckel FOREIGN PATENT DOCUMENTS EXAMINER INITIAL SUBCLASS TRANSLATION DOCUMENT NUMBER DATE COUNTRY CLASS YES NO **OTHER DOCUMENTS EXAMINER DATE CONSIDERED:** P.A. HEUSKOCI

MAR 2 5 2002

Sheet 8 of 9

Form PTO-1449

## INFORMATION DISCLOSCIENTATION

DOCKET NO: D-2979 APPLN. NO.: 09/939,527

APPLICANT: Chen et al

ORM						****	ON	APPLICANT: Chen et al				_
	IN A Use so	N AF	PPLIC heets	ATIO	ON ssary)			FILING DATE: 8/24/01	GROUP ART: 1724			
						U.	S. PATEN	T DOCUMENTS				
		DOCUM	LENT NU	(BER			DATE	NAME	CLASS	SUBCLASS	RULIN	G DATE
5	1	9	2	3	3	5_	03/09/93	Cherpeck	44	387		
		3	0	7	1	4	07/27/93	Steckel	44	432		
				8	2	3	02/15/94	Rath	526	237		
					5	4	03/22/94	Steckel	252	51.5R		
						1		Cherpeck	568	792		
					0	0	06/28/94	Welborn, Jr. et al	526	160		
					7	8	08/09/94	Adams et al	44	419		
				9	6	5	09/13/94	Dever et al	525	379		
	1				1	5	11/29/94	Steckel	44	338		
					4	2	12/13/94	McGarrity et al	435	227		
	+				1	8	04/18/95	Rath	526	237		
					1	3	10/17/95	Adams et al	252	47		
	1		t		1	3	03/05/96	Franz et al	44	432		
_						6	04/16/96	Dever et al	595	378		
	$\top$						10/15/96	Sherbondy et al	860	700		
		$\vdash$							564	<i>298</i>		
		1		$\vdash$	4	9	04/15/97	Baker et al	508	458		
	1 -	1	<u>.                                    </u>		<u> </u>	FOR	FIGN PAT	FNT DOCUMENTS		,		
								COUNTRY CLASS		SUBCLASS	TRANSL	ATION
DUCUMENT NUMBER							54.5				YES	NO_
	1		1									
<b></b>				<u> </u>			OTHER I	OCUMENTS				
Τ	T						OTTIER	, COUNTRICE				
<u> </u>	-											
								-				
NER								DATE CONSIDE	RED:			
ALK.								1 , 1				
	Д.	110		1/-	n:			11/7/0	20			
		5 1 5 2 5 2 5 3 5 3 5 3 5 3 5 4 5 4 5 5 5 5 5 5 5 5	IN AN AI (Use several	IN AN APPLIC (Use several sheets in the seve	IN AN APPLICATIO (Use several sheets if necessory)  DOCUMENT NUMBER  1 9 2 3 5 2 3 0 7 5 2 8 6 8 5 2 9 6 1 5 3 0 0 7 5 3 2 4 8 5 3 3 6 2 5 3 4 6 9 5 3 6 8 6 5 3 7 2 9 5 4 0 8 0 5 4 5 8 7 5 4 9 6 3 5 5 0 8 3 5 5 6 7 8	IN AN APPLICATION (Use several sheets if necessary)  DOCUMENT NUMBER  1 9 2 3 3 3 5 2 3 0 7 1 5 2 8 6 8 2 2 5 2 9 6 1 5 5 3 0 0 7 0 0 5 3 2 4 8 0 0 5 3 3 6 2 7 5 5 3 6 8 6 1 5 5 3 6 8 6 1 5 5 4 5 8 7 9 5 4 9 6 3 8 5 5 5 6 5 1 0 5 5 5 6 7 8 4 5 5 6 2 0 9 4 4 5 5 6 2 0 9 4 4	IN AN APPLICATION (Use several sheets if necessary)  U.  DOCUMENT NUMBER  5	U. S. PATEN  DOCUMENT NUMBER  DATE  1 9 2 3 3 5 03/09/93 5 2 3 0 7 1 4 07/27/93 5 2 8 6 8 2 3 02/15/94 5 2 9 6 1 5 4 03/22/94 5 3 0 0 7 0 1 04/05/94 5 3 2 4 8 0 0 06/28/94 5 3 3 6 2 7 8 08/09/94 5 3 4 6 9 6 5 09/13/94 5 3 6 8 6 1 5 11/29/94 5 3 7 2 9 4 2 12/13/94 5 4 0 8 0 1 8 04/18/95 5 4 5 8 7 9 3 10/17/95 5 4 9 6 3 8 3 03/05/96 5 5 6 5 1 0 6 10/15/96 5 5 6 7 8 4 5 10/22/96 5 6 2 0 9 4 9 04/15/97  FOREIGN PAT	IN AN APPLICATION (Use several sheets if necessary)	IN AN APPLICATION (Use several sheets if necessary)	NAMAPPLICATION (Use soveral sheets if necessary)	IN AN APPLICATION (Use several sheets If necessary)

Sheet 9 of 9 Form PTO-1449 **DOCKET NO: D-2979** APPLN. NO.: 09/939,527 INFORMATION DISCLOSURE CITATION APPLICANT: Chen et al IN AN APPLICATION FILING DATE: 8/24/01 **GROUP ART: 1724** (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** EXAMINER DOCUMENT NUMBER DATE RULING DATE NAME CLASS SUBCLASS 09/02/97 6 6 n 3 Young 6 6 3 5 09/02/97 Kolp Thaler E/41. 5 6 7 10/07/97 4 9 5 0 6 0 6 0 12/09/97 Baker et al 5 6 9 6 0 6 7 12/09/97 Adams et al Emert et al 5 6 9 4 2 2 11/25/97 1 5 7 3 9 3 5 6 04/14/98 Dietz et al 5 0 07/07/98 Emert et al 2 07/07/98 Adams et al 5 8 0 5 5 4 07/14/98 Emert et al 7 5 8 6 4 9 0 07/28/98 Dietz et al 5 8 5 6 5 2 4 01/05/99 Dietz et al 5 8 0 03/09/99 Thaler et al 5 9 8 07/06/99 9 6 9 Thaler et al 6 0 2 0 O 5 n 02/01/00 Baker et al 5 7 6 1 1 09/05/00 4 4 Baker et al 5 0 0 12/25/90 Dobrez et al FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER COUNTRY SUBCLASS DATE CLASS TRANSLATION YES NO

OTHER DOCUMENTS

EXAMINER

P.A. HRUSKOCI

**DATE CONSIDERED:** 

11/7/02